

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Pohlner *et al.*

Appl. No.: 10/520,679 (U.S. Natl. Phase
of PCT/EP03/07361)

Intl. Filed: July 9, 2003

For: **Diagnostic and Therapeutic Use
of a Rab Family GTP-Binding
Protein for Neurodegenerative
Diseases**

Confirmation No.: 7233

Art Unit: *To be assigned*

Examiner: *To be assigned*

Atty. Docket: 2335.0030001/SRL/KPQ

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

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Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of documents FP1 to FP2 and NPL1 to NPL15 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), a copy of U.S. patent, document US1, cited on the attached IDS Forms is not submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Registration No. 51,063

Date: September 29, 2005

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date	Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/520,679 (U.S. Nat'l Phase of PCT/EP03/07361)
				I.A. Filing Date	July 9, 2003
				First Named Inventor	Johannes POHLNER
				Art Unit	To be assigned
				Examiner Name	To be assigned
Sheet	1	of	2	Attorney Docket Number	2335.0030001/SRL/KPQ

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T ²
	NPL1	Bao, X., <i>et al.</i> , "Molecular cloning, bacterial expression and properties of Rab31 and Rab32, New blood platelet Rab proteins," <i>Eur. J. Biochem.</i> 269:259-271, Blackwell Science Ltd on behalf of the Federation of European Biochemical Societies (January 2002)	
	NPL2	Bertram, L., <i>et al.</i> , "Evidence for genetic linkage of Alzheimer's disease to chromosome 10q," <i>Science</i> 290:2302-2303, American Association for the Advancement of Science (2000)	
	NPL3	Chen, D., <i>et al.</i> , "Molecular cloning of two novel <i>rab</i> genes from human melanocytes," <i>Gene</i> 174:129-134, Elsevier Science B.V. (1996)	
	NPL4	Myers, A., <i>et al.</i> , "Susceptibility Locus for Alzheimer's Disease on Chromosome 10," <i>Science</i> 290:2304-2305, American Association for the Advancement of Science (2000)	
	NPL5	Pereira-Leal, J.B. and Seabra, M.C., "The Mammalian Rab Family of Small GTPases: Definition of Family and Subfamily Sequence Motifs Suggests a Mechanism for Functional Specificity in the Ras Superfamily," <i>J. Mol. Biol.</i> 301:1077-1087, Academic Press (2000)	
	NPL6	Richards-Smith, B., <i>et al.</i> , "Analyses of Proteins Involved in Vesicular Trafficking in Platelets of Mouse Models of Hermansky Pudlak Syndrome," <i>Molec. Genet. Metab.</i> 68:14-23, Academic Press (1999)	
	NPL7	Roses, A.D., "Apolipoprotein E and Alzheimer's Disease," <i>Ann. N.Y. Acad. Sci.</i> 855:738-743, New York Academy of Sciences (1998)	
	NPL8	Scott, W.K., <i>et al.</i> , "Fine Mapping of the Chromosome 12 Late-Onset Alzheimer Disease Locus: Potential Genetic and Phenotypic Heterogeneity," <i>Am. J. Hum. Genet.</i> 66:922-932, University of Chicago Press (2000)	
	NPL9	Strittmatter, W.J., "Apolipoprotein E: High-avidity binding to β -amyloid and increased frequency of type 4 allele in late-onset familial Alzheimer disease," <i>Proc. Natl. Acad. Sci. USA</i> 90:1977-1981, National Academy of Sciences (1993)	
	NPL10	Zerial, M. and McBride, H., "Rab Proteins as Membrane Organizers," <i>Molec. Cell Biol.</i> 2:107-119, Macmillan Magazines Ltd. (February 2001)	
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				I.A. Filing Date	July 9, 2003
				First Named Inventor	Johannes POHLNER
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Sheet	2	of	2	Attorney Docket Number	2335.0030001/SRL/KPQ

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL11	NCBI Entrez, GenBank Report, Accession No. U59877, Haslam, R.J. and Bao, X. (first available 1996, last modified January 2002)	
	NPL12	NCBI Entrez, GenBank Report, Accession No. AAB02832, Bao, X., <i>et al.</i> (first available 1996, last modified January 2002)	
	NPL13	NCBI Entrez, GenBank Report, Accession No. AF183421, Peng, Y., <i>et al.</i> (first available and last modified 2000)	
	NPL14	NCBI Entrez, GenBank Report, Accession No. Q13636, Chen, D., <i>et al.</i> (first available 1997, last modified September 2005)	
	NPL15	Copy of International Search Report for International Application No. PCT/EP03/07361, mailed October 29, 2003	

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